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**Publication:** 16.03.2023 18:15

**Source:** <https://www.presstext.com/news/20230316036>

**Keywords:** Unternehmen / Beteiligungsmeldung / BAWAG Group AG

*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## **BAWAG Group AG: Release according to article 135 section 2 BörseG**

Vienna (pta/16.03.2023/18:15) - Release of Announcement according to article 135 section 2 BörseG

*Notification of Major Holdings*

### **1. Issuer**

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

### **2. Reason for notification**

Acquisition / disposal of shares with voting rights

Acquisition / disposal of instruments

### **3. Details of person subject to the notification obligation**

Name: BlackRock, Inc.

City and country of registered office: Wilmington, United States of America (USA)

### **4. Names of shareholders, if different from declarants (3)**

### **5. Date on which threshold was crossed or reached**

13.03.2023

### **6. Total positions**

|   | <b>% of voting rights attached to shares (total of 7.a.)</b> | <b>% of voting rights through instruments (total of 7.b.1 + 7.b.2)</b> | <b>total of both in % (7.a. + 7.b.)</b> | <b>total number of voting rights of issuer</b> |
|---|--|--|---|--|
| <b>Resulting situation on the date on which threshold was crossed / reached</b> | 4,03   | 0,06   | 4,09                                    | 82500000                                       |
| <b>Position of previous notification</b>  | 3,96   | 0,03   | 3,99                                    |  |

### **7. Notified details of the resulting situation**

#### **7.A: Voting rights attached to shares**

| <b>ISIN code</b> | <b>absolute direct (Sec 130 BörseG 2018)</b> | <b>absolute indirect (Sec 133 BörseG 2018)</b> | <b>in % direct (Sec 130 BörseG 2018)</b> | <b>in % indirect (Sec 133 BörseG 2018)</b> |
|------------------|--|--|--|--|
| AT0000BAWAG2 0   |  | 3324901  | 0.00                                     | 4.03                                       |
| <b>Total:</b>    |  | <b>3,324,901</b>                               |  | <b>4.03</b>                                |

#### **7.B.1: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018**

| <b>type of instrument</b> | <b>Maturity / Expiration</b> | <b>Exercise or conversion period</b> | <b>Voting Rights Absolute</b> | <b>Voting Rights in %</b> |
|---------------------------|------------------------------|--------------------------------------|-------------------------------|---------------------------|
| Securities Lent           | N/A                          | N/A                                  | 47466                         | 0.06                      |
|                           |                              | <b>Total:</b>                        | <b>47,466</b>                 | <b>0.06</b>               |

## 7.B.2: Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018

| type of instrument | Maturity / Expiration | Exercise or conversion period | Cash or physical transaction | Voting Rights Absolute | Voting Rights in % |
|--------------------|-----------------------|-------------------------------|------------------------------|------------------------|--------------------|
| CFD                | N/A                   | N/A                           | Cash                         | 5865                   | 0.01               |
|                    |                       |                               | <b>Total:</b>                | <b>5,865</b>           | <b>0.01</b>        |

## 8. Information in relation to the person subject to the notification obligation

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

| No. | Name  | Directly controlled by No. | Shares held directly (%) | Financial/other instruments held directly (%) | Total of both (%) |
|-----|---|----------------------------|--------------------------|---|-------------------|
| 1   | BlackRock, Inc.                                     |                            | n/a                      | n/a   | n/a               |
| 2   | BlackRock Holdco 2, Inc.                            | 1                          | n/a                      | n/a   | n/a               |
| 3   | BlackRock Financial Management, Inc.                | 2                          | n/a                      | n/a   | n/a               |
| 4   | BlackRock International Holdings, Inc.              | 3                          | n/a                      | n/a   | n/a               |
| 5   | BR Jersey International Holdings L.P.               | 4                          | n/a                      | n/a   | n/a               |
| 6   | BlackRock Australia Holdco Pty. Ltd.                | 5                          | n/a                      | n/a   | n/a               |
| 7   | BlackRock Investment Management (Australia) Limited | 6                          | n/a                      | n/a   | n/a               |
| 8   | Trident Merger, LLC                                 | 1                          | n/a                      | n/a   | n/a               |
| 9   | BlackRock Investment Management, LLC                | 8                          | n/a                      | n/a   | n/a               |
| 10  | BlackRock (Singapore) Holdco Pte. Ltd.              | 5                          | n/a                      | n/a   | n/a               |
| 11  | BlackRock HK Holdco Limited                         | 10                         | n/a                      | n/a   | n/a               |
| 12  | BlackRock Asset Management North Asia Limited       | 11                         | n/a                      | n/a   | n/a               |
| 13  | BlackRock Lux Finco S.à r.l.                        | 11                         | n/a                      | n/a   | n/a               |
| 14  | BlackRock Japan Holdings GK                         | 13                         | n/a                      | n/a   | n/a               |
| 15  | BlackRock Japan Co., Ltd.                           | 14                         | n/a                      | n/a   | n/a               |
| 16  | BlackRock (Singapore) Limited                       | 10                         | n/a                      | n/a   | n/a               |
| 17  | BlackRock Holdco 3, LLC                             | 5                          | n/a                      | n/a   | n/a               |
| 18  | BlackRock Cayman 1 LP                               | 17                         | n/a                      | n/a   | n/a               |
| 19  | BlackRock Cayman West Bay Finco Limited             | 18                         | n/a                      | n/a   | n/a               |
| 20  | BlackRock Cayman West Bay IV Limited                | 19                         | n/a                      | n/a   | n/a               |
| 21  | BlackRock Group Limited                             | 20                         | n/a                      | n/a   | n/a               |
| 22  | BlackRock Finance Europe Limited                    | 21                         | n/a                      | n/a   | n/a               |
| 23  | BlackRock Investment Management (UK) Limited        | 22                         | n/a                      | n/a   | n/a               |
| 24  | BlackRock International Limited                     | 21                         | n/a                      | n/a   | n/a               |
| 25  | BlackRock (Netherlands) B.V.                        | 22                         | n/a                      | n/a   | n/a               |
| 26  | BlackRock Asset Management Deutschland AG           | 25                         | n/a                      | n/a   | n/a               |
| 27  | BlackRock Advisors (UK) Limited                     | 22                         | n/a                      | n/a   | n/a               |

|    |  |    |     |     |     |
|----|--|----|-----|-----|-----|
| 28 | BlackRock Capital Holdings, Inc.                               | 3  | n/a | n/a | n/a |
| 29 | BlackRock Advisors, LLC  | 28 | n/a | n/a | n/a |
| 30 | BlackRock Canada Holdings LP                                   | 17 | n/a | n/a | n/a |
| 31 | BlackRock Canada Holdings ULC                                  | 30 | n/a | n/a | n/a |
| 32 | BlackRock Asset Management<br>Canada Limited                   | 31 | n/a | n/a | n/a |
| 33 | BlackRock Holdco 4, LLC  | 3  | n/a | n/a | n/a |
| 34 | BlackRock Holdco 6, LLC  | 33 | n/a | n/a | n/a |
| 35 | BlackRock Delaware Holdings Inc.                               | 34 | n/a | n/a | n/a |
| 36 | BlackRock Fund Advisors  | 35 | n/a | n/a | n/a |
| 37 | BlackRock Institutional Trust<br>Company, National Association | 35 | n/a | n/a | n/a |
| 38 | Amethyst Intermediate LLC                                      | 9  | n/a | n/a | n/a |
| 39 | Aperio Holdings LLC  | 38 | n/a | n/a | n/a |
| 40 | Aperio Group, LLC  | 39 | n/a | n/a | n/a |

## 9. In case of proxy voting

Date of general meeting: N/A

Voting rights after general meeting:

## 10. Other useful information

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**ISIN(s):** AT0000BAWAG2 (Share)

**Stock Exchange(s):** Official Trade in Vienna



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