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*Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)*

## BAWAG Group AG: Release according to art. 135 section 2 BörseG

Vienna (pta039/09.12.2019/22:20) - Release of Announcement according to art. 135 section 2 BörseG  
Notification of Major Holdings

### 1. Issuer:

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

### 2. Reason for notification:

Acquisition / disposal of shares with voting rights

### 3. Details of person subject to the notification obligation

Name: Wellington Management Group LLP

City and country of registered office: Boston, USA - United States

### 4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

### 5. Date on which threshold was crossed or reached

03.12.2019

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation on the date on which threshold was crossed / reached	4.34	0.03	4.37	100,000,000
Position of previous notification	2.87	0.06	3.13	

### 7. Notified details of the resulting situation

#### 7.a. Voting rights attached to shares

ISIN code	absolute direct (Sec 130 BörseG 2018)	absolute indirect (Sec 133 BörseG 2018)	in % direct (Sec 130 BörseG 2018)	in % indirect (Sec 133 BörseG 2018)
AT0000BAWAG2	0	4,339,583	0.00	4.34
<b>Total:</b>		<b>4,339,583</b>		<b>4.34</b>

### 7.b.1. Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018

Type of instrument	Expiration Date	Exercise Period	Voting Rights Absolute	Voting Rights in %
		<b>Total:</b>		

### 7.b.2 Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018

Type of instrument	Expiration Date	Exercise Period	Physical /Cash Settlement	Voting Rights Absolute	Voting Rights in %
Total Return Swap	15/05/2020	N/A	Cash	34,067	0.03
			<b>Total:</b>	<b>34,067</b>	<b>0.03</b>

### 8. Information in relation to the person subject to the notification obligation

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

No.	Name	Directly controlled by No.	Shares held directly (%)	Financial/other instruments held directly (%)	Total of both (%)
1	Wellington Management Group LLP				
2	Wellington Group Holdings LLP	1			
3	Wellington Investment Advisors Holdings LLP	2			
4	Wellington Management Global Holdings, Ltd	3			
5	Wellington Management International Limited	4	0.69		0.69
6	Wellington Management Company LLP	3	3.65	0.03	3.68

### 9. In case of proxy voting

Date of general meeting: N/A

### 10. Additional Information

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ISIN(s): AT0000BAWAG2 (share)

stock exchanges: official trade in Vienna



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