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Holding announcement according to article 135 section 2 BörseG (ESMA 2015/1597)

BAWAG Group AG: Release according to art. 135 section 2 BörseG

Vienna (pta043/08.10.2021/20:00) - Release of Announcement according to art. 135 section 2 BörseG
Notification of Major Holdings

1. Issuer:

BAWAG Group AG, Wiedner Gürtel 11, 1100 Wien, Austria

2. Reason for notification:

Acquisition / disposal of shares with voting rights

3. Details of person subject to the notification obligation

Name: Wellington Management Group LLP

City and country of registered office: Boston, USA - United States

4. Names of shareholder(s) holding directly 3% or more voting rights, if different from 3

5. Date on which threshold was crossed or reached

05.10.2021

6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	total of both in % (7.a. + 7.b.)	total number of voting rights of issuer
Resulting situation on the date on which threshold was crossed / reached	4.94	0.03	4.97	89,142,237
Position of previous notification	4.91	0.05	4.96	

7. Notified details of the resulting situation

7.a. Voting rights attached to shares

ISIN code	absolute direct (Sec 130 BörseG 2018)	absolute indirect (Sec 133 BörseG 2018)	in % direct (Sec 130 BörseG 2018)	in % indirect (Sec 133 BörseG 2018)
AT0000BAWAG2	0	4,405,695	0.00	4.94
Total:		4,405,695		4.94

7.b.1. Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 1 BörseG 2018

Type of instrument	Expiration Date	Exercise Period	Voting Rights Absolute	Voting Rights in %
Total Return Swap	15/05/2023	N/A	9,256	0.01
Total Return Swap	22/05/2023	N/A	16,286	0.02
		Total:	25,542	0.03

7.b.2 Financial / Other Instruments pursuant to Sec. 131 para. 1 No. 2 BörseG 2018

Type of instrument	Expiration Date	Exercise Period	Physical /Cash Settlement	Voting Rights Absolute	Voting Rights in %
			Total:		

8. Information in relation to the person subject to the notification obligation

Full chain of controlled undertakings through which the voting rights and/or the financial/other instruments are effectively held starting with the ultimate controlling natural person or legal entity.

No.	Name	Directly controlled by No.	Shares held directly (%)	Financial/other instruments held directly (%)	Total of both (%)
1	Wellington Management Group LLP				
2	Wellington Group Holdings LLP	1			
3	Wellington Investment Advisors Holdings LLP	2			
4	Wellington Investment Advisors Holdings LLP	3			
5	Wellington Management International Limited	4	0.89	0.02	0.91
6	Wellington Management Japan Pte Ltd	4	0.06		0.06
7	Wellington Management Company LLP	3	3.99	0.01	4.00

9. In case of proxy voting

Date of general meeting: N/A

10. Additional Information

Wellington Management Company LLP has crossed above the 4 % notification threshold in its own right. Wellington Management Company is an investment management entity that manages the assets of certain funds and/or managed accounts.

Wellington Management Company LLP is a direct controlled undertaking of Wellington Investment Advisors Holdings LLP, which, in turn, is a direct controlled undertaking of Wellington Group Holdings LLP, which, in turn, is a direct controlled undertaking of Wellington Management Group LLP

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ISIN(s): AT0000BAWAG2 (share)

stock exchanges: official trade in Vienna



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